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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICATION SERIAL NO. 08/940,686
FILING DATE September 30, 1997
INVENTORSHIP Ritzdorf et al.
GROUP ART UNIT Unknown
EXAMINER Unknown
ATTORNEY'S DOCKET NO. SE10-0123
TITLE: Semiconductor Plating System Workpiece Support Having
Workpiece-Engaging Electrode With Pre-Conditioned Contact Face

INFORMATION DISCLOSURE STATEMENT

This document is being submitted in an effort to comply with the duty of disclosure defined in the Code of Federal Regulations, specifically 37 CFR §1.56. The duty of disclosure imposed by the Patent Office extends to inventors, the applicant's attorneys, and others associated with the filing and prosecution of the patent application. It requires that all information known to such individuals which is material to the patentability of this invention be disclosed to the Patent Office.

The information being submitted is listed on the attached form PTO-1449. The listing includes patentee, patent number, and issue date. Foreign documents are identified by country, document number, and publication date. Magazine articles and other documents are identified by author, title, page no., date and place of publication. The Examiner is requested to make these citations of official record in this application. Copies are enclosed unless otherwise noted. Available translations of any foreign documents are submitted in compliance with 37 CFR §1.98(c).

The submission of this material is not an admission that the information is in fact prior art properly assertible against the application. The applicant(s) specifically reserve the right to question or prove that this information is not properly asserted

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1 against one or more claims of this application or claims submitted in a derivative
2 application.

3 **Signatures of Inventors**

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This submission is being made pursuant to the following provision(s):

1. ☐ 37 CFR §1.97(b)(1-2) - No fee is due because it is being submitted within 3 months of the filing date or national stage entry date.
2. ☒ 37 CFR §1.97(b)(3) - No fee is due because it is being submitted before the mailing date of the first office action on the merits.
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4. ☐ 37 CFR §1.97(c) & (e) - This submission is before the mailing of a final action and before mailing of a notice of allowance. No fee is due because it is being submitted with a certification as provided below.
5. ☐ 37 CFR §1.97(d) & (e) - This submission is being made before payment of the issue fee and after the mailing of a final action or after mailing of a notice of allowance. Also enclosed are a petition and the fee is either enclosed or should be withdrawn from the deposit account indicated in the transmittal letter.
6. ☐ 37 CFR §1.98(d) - Copies of some or all of the references (marked with asterisks) *are NOT being submitted* because they were cited or submitted in prior U.S. Application Serial Nos. 08/680,068 and 08/680,056, upon which priority is claimed. Copies of references not excepted under this provision are enclosed.
7. ☐ 37 CFR §1.97(a)(3) - An explanation of the relevance of the references is not provided except unless this box is checked. If checked, then a concise explanation of the relevance is provided in connection with each item which is not in the English language.
8. ☐ Some or all of the references are incomplete. Applicant has submitted everything in possession.

Date: 30 April 1998

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1 **Certification Under 37 CFR §1.97(e) (if applicable) -**

2 The undersigned hereby certifies that either: each item of information
3 contained in the information disclosure statement was cited in a communication from
4 a foreign patent office in a counterpart foreign application not more than three
5 months prior to the filing of the statement; or, no item of information contained in
6 the information disclosure statement was cited in a communication from a foreign
7 patent office in a counterpart foreign application or, to the knowledge of the person
8 signing the certification after making reasonable inquiry, was known to any individual
9 designated in 37 CFR §1.56(c) more than three months prior to the filing of the
10 statement.

11 Date: _____

12 By: _____

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